

INFORMATION DISCLOSURE STATEMENT

Applicant	: Gunn III et al
App. No	: 10/776,475
Filed	: February 10, 2004
For	: OPTICAL WAVEGUIDE GRATING COUPLER
Examiner	: Daniel J. Petkovsek
Art Unit	: 2874

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 17 references to be considered by the Examiner. Also enclosed is 1 item of non-patent literature as listed on the Information Disclosure Statement.

This Information Disclosure Statement is being filed with an RCE and no fee is required.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Respectfully submitted,

KNOBBE MARTENS, OLSON & BEAR, LLP

Dated: 2/20/07

By: 

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Multiple sheets used when necessary)

SHEET 1 OF 1

Application No.	10/776,475
Filing Date	February 10, 2004
First Named Inventor	Lawrence C Gunn III
Art Unit	2874
Examiner	Daniel J. Petkovsek
Attorney Docket No.	LUXTRA.031A

U.S. PATENT DOCUMENTS

Examiner Initials	Cite No.	Document Number Number - Kind Code (if known) Example: 1,234,567 B1	Publication Date MM-DD-YYYY	Name of Patentee or Applicant	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
	1	5,208,800	05/1993	Isobe et al.	
	2	5,420,947	05/1995	Li et al.	
	3	5,621,715	04/1997	Ohyama	
	4	5,625,729	04/1997	Brown	
	5	5,835,643	11/1998	Fukumoto et al.	
	6	6,259,841	07/2001	Bhagavatula	
	7	6,640,034	10/2003	Charlton et al.	
	8	6,775,427	08/2004	Evan	
	9	6,776,847	09/2004	Paddon et al.	
	10	7,006,732	02/2006	Gunn	
	11	2002/0008215	01/2002	Evans	
	12	2002/0146193	10/2002	Hamada	
	13	2003/0118306	06/2003	Delhwa	
	14	2003/0228096	12/2003	Parker et al.	
	15	2003/0235370	12/2003	Tailleart et al.	
	16	2006/0280405	12/2006	Gunn III et al.	

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ¹
	17	S.J. SHEARD, MING LI, TING DI LIAO, Waveguide Grating Couplers, Department of Engineering Science, Oxford University, Parks Road, Oxford OX1 3PJ United Kingdom, nine pages.	

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Examiner Signature	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

T¹ - Place a check mark in this area when an English language Translation is attached.